

DOCQNET Project

Securities & Franchise Filings Search



CA Department of Business Oversight

Version 2.0

(6/18/2014)

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1. Overview

The DBO Self Service Portal provides the public with the ability to search a repository of all public filing documents related to securities and franchise filings that have been submitted to DBO. Each filing document is stored as a PDF file, and is available for the public to view and/or download.

2. Searching for Securities/Franchise Filings

Steps to Complete Process

1. With the Portal being open to the homepage, click on the hyperlink "Document Search" under the Securities & Franchise Filing Search description on the right side of the screen.

Search Securities and Franchise Filings

Search for publically available filing documents and download them instantly

[Document Search](#)

2. Enter the search criteria and then click the "Search" button.

The screenshot shows the California Department of Business Oversight website. The header includes the logo and the text "California Department of Business Oversight". Below the header, there is a navigation bar with "Complaints" and "Securities & Franchise Search". The main content area is titled "Search" and contains a search form. The form includes the following fields: "Q&R Application Type:" with a dropdown menu, "Q&R Notice Type:" with a dropdown menu, "Filing Received From:" and "To:" with date pickers, and "Legal Name:" with a text input field. A "Search" button is located below the form. A red box highlights the search form fields, and a red circle highlights the "Search" button.

3. Click on the hyperlink for the Business/Organization the document is associated with.

Search

Q&R Application Type:

Q&R Notice Type:

Filing Received From: To:

Legal Name:

Legal Name	Application/Notice Type	Filing Type	Received Date
Trinity Technology Group	Material Modification	Initial	06/17/2014
VANGUARD CAPITAL	Material Modification	Initial	06/12/2014
asghar corporation	Negotiating Permit	Initial	01/01/0001
Franchise, Inc.	Negotiating Permit	Initial	01/01/0001
ACCORD CAPITAL PARTNERS LLC	Negotiating Permit	Initial	01/01/0001
asghar corporation	Non-Issuer Notification	Initial	01/01/0001

4. Click on the hyperlink of the document to open and review it. The PDF document can be saved to your computer and/or printed from your web browser.

Documents List

Document Title	Received Date
Application Transmittal letter	03/28/2013
Application Filing Form	03/28/2013
Consent to Service of Process	03/28/2013
Franchise Disclosure Document	03/28/2013
Order and Letter	04/12/2013

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